

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No.:

10/604,638

Application of:

Pullen, et al.

Confirmation No.:

1637

Filed:

August 6, 2003

Group Art Unit:

1741

Examiner:

Not Yet Known

Attorney Docket No.:

H-335

Customer No.:

26245

Cambridge, Massachusetts June 24, 2005

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450

Sir:

The applicant is filing this Information Disclosure Statement to make of record US and foreign patents and applications, and non-patent references. The references it is desired to make of record are listed on the accompanying forms.

Apart from References B1 and B2, all of the references are in the English language, so that no statement of their relevance is required. Reference B1 describes a process for the fabrication of granules of a product in which the product is liquefied, formed into a laminar jet (41 in Figure 2), surrounded by a similar laminar jet of a second material, which is in turn surrounded by a fluid 18. The composite jet is subjected to vibration to cause it to break up into composite particles 53 containing both materials.

Reference B2 describes an HF (presumably high frequency, using the English abbreviation) image reproducing apparatus characterized in that a three- or single-channel miniature HF-receiver, which is provided with an LED-RGB triad or a single LED, is disposed on a Faraday cage mask.

The Examiner is respectfully asked to note that, for the convenience of both the Examiner and the undersigned attorney, certain prior art documents mentioned in the application have been replaced by their US equivalents or other convenient equivalents, as follows:

Document not supplied	Alternative supplied
GB2044508	US4419663
GB2292119	US5972493
JP2551783	JP64-86116
US20020019081	US6825068
US20020021270	US6816147
US20020053900	US6512354
US20020063677	US6518949
US20020075556	US6788449
US20020106847	US6683333
US20020131147	US6866760
US20020145792	US6538801
US20020171910	US6870661
US20020180688	US6864875
US20020185378	US6822782
US20030011867	US6657772
US20030011868	US6753999
US20030025855	US6831769
US20030034949	US6842167
US20030038755	US6819471
US20030053189	US6825970
US20030076573	US6704133
US20030096113	US6721083
EP 0 186 710A1	US4776675

Document not supplied	Alternative supplied
EP 0 24EP 0 063A1	US4909959
EP 0 268 877A2	US4435047
EP 0 323 656A1	US5204424
EP 0 325 013A1	US4742345
EP 0 361 420A2	US5119218
EP 0 375 005A1	US5132049
EP 0 396 937A	US5059694
EP 0 408 105A1	US5268448
EP 0 442 123A1	US5213983
EP 0 525 852A1	US5689282
EP 0 540 281A2	US5344594
EP 0 600 878B1	US5276438
EP 0 685 101B	US5402145
EP 0 708 798	US5380362
EP 0 721 176A2	US5604027
EP 0 778 083A1	US5882680
EP 0 962 808A2	US6239896
JP01-086116A	JP64-86116
EP 1 024 540A2	US6373461
JP10-072571A	US6087519
GB 2 324 273A	US5972493
WO 00/10048	US6064784
WO 00/20922	US6839158
WO 00/38001	US6724519
WO 01/08241	US6842657
WO 02/01281	US6672921
WO 92/17873	US5187609

Document not supplied	Alternative supplied
WO 94/24236	US6117368
WO 95/02636	US5380362
WO 95/05622	US5411656
WO 95/15363	US5403518
WO 95/19227	US5298833
WO 95/27924	US5744283
WO 97/01165	US6088319
WO 97/01166	US6084850
WO 97/04398	US6124851
WO 97/24715	US6064615
WO 97/24907	US6091382
WO 98/03896	US6120588
WO 98/19208 .	US5930026
WO 98/41898	US6480182
WO 98/41899	US5961804
WO 98/58383	US6055180
WO 99/10767	US6067185
WO 99/10768	US6177921
WO 99/10769	US6118426
WO 99/47970	US6445489
WO 99/53371	US6518949
WO 99/56171	US6130774
WO 99/65011	US6373454
WO 99/65012 ·	US6359605
WO 99/67678	EP1145072B1

In accordance with 37 CFR 1.98(a)(2) no copies of the listed U.S. patents and applications are being filed. Copies of foreign and non-patent references B1-B69 and C1-C89 are filed herewith.

Because this Information Disclosure Statement is being filed prior to the issue of the first Office Action, it is believed that no fee is required in connection with this Information Disclosure Statement. If however any fee is required, please charge the assignee's Deposit Account 501162, on which the undersigned attorney is an authorized signatory

Respectfully submitted,

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DJC/grw



Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Using as many sheets as necessary)

Sheet: 1 of: 26

Con	Complete if Known					
Application Number 10/604,638						
Filing Date	August 6, 2003					
First Named Inventor	Pullen					
Art Unit	1753					
Examiner Name	Barton, Jeffrey T.					
Attorney Docket No.	H-335					

	U.S. Patent Documents						
Examiner Initials*	Cite No. *1	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Locations Where Relevant Passages or Figures Appear		
	A1	D485294	01/13/2004	Albert			
	A2	20050035941	02/17/2005	Albert et al.	——————————————————————————————————————		
	A3	20040119681	. 06/24/2004	Albert et al.			
	A4	20040075634	04/22/2004	Gates			
	A5	20040027327	02/12/2004	LeCain et al.			
	A6	20040014265	01/22/2004	Kazlas et al.			
	A7	20040012839	01/22/2004	Cao et al.			
	A8	20030222315	12/04/2003	Amundson et al.			
	A9	20030214695	11/20/2003	Abramson et al.			
	A10	20030151702	08/14/2003	Morrison et al.			
	A11	20030132908	07/17/2003	Herb et al.			
	A12	20030102858	06/05/2003	Jacobson et al.			
	A13	20030020844	01/30/2003	Albert et al.			
-	A14	20030011560	01/16/2003	Albert et al.			
	A15	20020180687	12/05/2002	Webber .			
	A16	20020130832	09/19/2002	Baucom et al.			
	A17	20020113770	08/22/2002	Jacobson et al.			
	A18	20020090980	07/11/2002	Wilcox et al.			
	A19	20020063661	05/30/2002	Comiskey et al.			
	A20	20020060321	05/23/2002	Kazlas et al.			
	A21	6870661	03/22/2005	Pullen et al.			
	A22	6866760	03/15/2005	Paolini, Jr. et al.	•		
	A23·	6865010	03/08/2005	Duthaler et al.			
	A24	6864875	03/08/2005	Drzaic et a.			
	A25	6842657	01/11/2005	Drzaic et al.			
	A26	6842279	01/11/2005	Amundson			
	A27	6842167	01/11/2005	Albert et al.			
	A28	6839158	01/04/2005	Albert et al.			
	A29	6831769	12/14/2004	Holman et al.			
	A30	6825970	11/30/2004	Goenaga et al.			

Examiner			
Signature		Date Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

^{*1}Applicant's unique citation designation number (optional). *2Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14.

Substitute for form 1449/PTO				Con	nplete if Known
		Application Number	10/604,638		
INFORMATION DISCLOSURE		Filing Date	August 6, 2003		
		First Named Inventor	Pullen		
S	LATEN	MENT B	SY APPLICANT	Art Unit	1753
	(Using	as many she	eets as necessary)	Examiner Name	Barton, Jeffrey T.
Sheet:	2	of	26	Attorney Docket No.	H-335

U.S. Patent Documents					
Examiner Initials*	Cite No. *1	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Locations Where Relevant Passages or Figures Appear
	A31	6825829	11/30/2004	Albert et al.	
	A32	6825068	11/30/2004	Denis et al.	
	A33	6822782	11/23/2004	Honeyman et al.	
	A34	6819471	11/16/2004	Amundson et al.	
	A35	6816147	11/09/2004	Albert	
	A36	6788449	09/07/2004	Liang et al.	
	A37	6753999	06/22/2004	Zehner et al.	
	A38	6750473	06/15/2004	Amundson et al.	
	A39	6738050	05/18/2004	Comiskey et al.	
	A40	6727881	04/27/2004	Albert et al.	
	A41	6724519	04/20/2004	Comiskey et al.	
	A42	6721083	04/13/2004	Jacobson et al.	
	A43	6710540	03/23/2004	Albert et al.	
	A44	6704133	03/09/2004	Gates et al.	
	A45	6693620	02/17/2004	Herb et al.	
	A46	6683333	01/27/2004	Kazlas et al.	
	A47	6680725	01/20/2004	Jacobson	
	A48	6672921	01/06/2004	Liang et al.	
	A49	6664944	12/16/2003	Albert et al.	
	A50	6657772	12/02/2003	Loxley	
	A51	6652075	11/25/2003	Jacobson	, , , , , , , , , , , , , , , , , , ,
	A52	6639578	10/28/2003	Comiskey et al.	
	A53	6580545	06/17/2003	Morrison et al.	
	A54	6545291	04/08/2003	Amundson et al.	
	A55	6538801	03/25/2003	Jacobson et al.	
	A56	6535197	03/18/2003	Comiskey et al.	
	A57	6531997	03/11/2003	Gates et al.	
	A58	6521489	02/18/2003	Duthaler et al.	
	A59	6518949	02/11/2003	Drzaic	
	A60	6515649	02/04/2003	Albert et al.	

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Signature	Date Considered

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INFORMATION DISCLOSURE
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Application Number
10/604,638

Filing Date
First Named Inventor
Art Unit
1753

Examiner Name
Barton, Jeffrey T.

Attorney Docket No.

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U.S. Patent Documents						
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	A61	6512354	01/28/2003	Jacobson et al.		
	A62	6506438	01/14/2003	Duthaler et al.		
	A63	6504524	01/07/2003	Gates et al.		
	A64	6498114	12/24/2002	Amundson et al.		
	A65	6480182	11/12/2002	Turner et al.	· · · · · · · · · · · · · · · · · · ·	
	A66	6473072	10/29/2002	Comiskey et al.		
	A67	6459418	10/01/2002	Comiskey et al.		
	A68	6445489	09/03/2002	Jacobson et al.		
	A69	6445374	09/03/2002	Albert et al.	" 	
	A70	6422687	07/23/2002	Jacobson		
	A71	6413790	07/02/2002	Duthaler et al.		
	A72	6392786	05/21/2002	Albert		
	A73	6392785	05/21/2002	Albert et al.		
	A74	6377387	04/23/2002	Duthaler et al.	***************************************	
	A75	6376828	04/23/2002	Comiskey		
	A76	6373461	04/16/2002	Hasegawa et al.		
	A77	6373454	04/16/2002	Knapp et al.		
	A78	6359605	03/19/2002	Knapp et al.		
	A79	6327072	12/04/2001	Comiskey et al.		
	A80	6323989	11/27/2001	Jacobson et al.		
	A81	6312971	11/06/2001	Amundson et al.		
	A82	6312304	11/06/2001	Duthaler et al.		
	A83	6301038	10/09/2001	Fitzmaurice et al.		
	A84	6300932	10/09/2001	Albert		
	A85	6271823	08/07/2001	Gordon , Il et al.		
	A86	6262833	07/17/2001	Loxley et al.		
	A87	6262706	07/17/2001	Albert et al.		
	A88	6252564	06/26/2001	Albert et al.		
	A89	6249271	06/19/2001	Albert et al.		
	A90	6241921	06/05/2001	Jacobson et al.		

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Signature	Date Considered	

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3

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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
(Using as many sheets as necessary)

Complete if Known

Application Number
10/604,638

Filing Date
First Named Inventor
Pullen

Art Unit
1753

Examiner Name
Barton, Jeffrey T.

Attorney Docket No.

H-335

		· · · · · · · · · · · · · · · · · · ·	U.S. Patent	Documents	
Examiner Initials*	Cite No. *1	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Locations Where Relevant Passages or Figures Appear
	A91	6239896	05/29/2001	Ikeda	
	A92	6232950	05/15/2001	Albert et al.	, <u>************************************</u>
	A93	6225971	05/01/2001	Gordon , II et al.	**************************************
	A94	6184856	02/06/2001	Gordon , II et al.	
	A95	6177921	01/23/2001	Comiskey et al.	
	A96	6172798	01/09/2001	Albert et al.	
	A97	6171464	01/09/2001	Chadha	
	A98	6147791	11/14/2000	Sheridon	
	A99	6144361	11/07/2000	Gordon , II et al.	
	A100	6137467	10/24/2000	Sheridon et al.	
	A101	6130774	10/10/2000	Albert et al.	
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	A103	6128124	10/03/2000	Silverman	-
	A104	6124851	09/26/2000	Jacobson	
	A105	6120839	09/19/2000	Comiskey et al.	
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	A107	6118426	09/12/2000	Albert et al.	
	A108	6117368	09/12/2000	Hou	
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	A111	6097531	08/01/2000	Sheridon	
	A112	6091382	7/18/00	Shioya et al.	
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	A117	6064784	05/16/2000	Whitehead et al.	
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	A120	6055091	04/25/2000	Sheridon et al.	

Examiner		
Signature	Date Considered	

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SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

of

Sheet:

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Substitute for	form 1449/	РТО		Con	nplete if Known
			•	Application Number	10/604,638
IN	FORM	ATION	I DISCI OSLIDE	Filing Date	August 6, 2003
	INFORMATION DISCLOSURE		First Named Inventor	Pullen	
S	TATEN	MENT E	BY APPLICANT	Art Unit	1753
	(Using	as many sh	eets as necessary)	Examiner Name	Barton, Jeffrey T.
Sheet:	5	of	26	Attorney Docket No.	H-335

	U.S. Patent Documents					
Examiner Initials*	Cite No. *1	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Locations Where Relevant Passages or Figures Appear	
	A121	6054071	04/25/2000	Mikkelsen, Jr.		
	A122	6045955	04/04/2000	Vincent		
	A123	6017584	01/25/2000	Albert et al.		
	A124	6014247	01/11/2000	Winter et al.		
	A125	6005817	12/21/99	Gudesen et al.		
	A126	6005791	12/21/99	Gudesen et al.		
	A127	5972493	10/26/99	lwasaki et al.		
	A128	5961804	10/05/1999	Jacobson et al.		
	A129	5930026	07/27/1999	Jacobson et al.		
	A130	5914806	6/22/99	Gordon , II et al.		
	A131	5900858	5/4/99	Richley		
	A132	5894367	4/13/99	Sheridon		
	A133	5882680	3/16/99	Suzuki et al.		
	A134	5872552	02/16/1999	Gordon , II et al.		
	A135	5843259	12/1/98	Narang et al.		
	A136	5828432	10/27/98	Shashidhar et al.		
	A137	5825529	10/20/98	Crowley		
	A138	5808783	09/15/1998	Crowley		
	A139	5783614	7/21/98	Chen et al.	***************************************	
	A140	5777782	07/07/1998	Sheridon		
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	A142	5760761	06/02/1998	Sheridon		
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	A144	5754332	05/19/1998	Crowley	·	
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	A149	5739801	04/14/1998	Sheridon		
	A150	5737115	04/07/1998	Mackinlay et al.		

Examiner	
Signature	Date Considered

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Substitute for	Substitute for form 1449/PTO		Con	nplete if Known	
			Application Number	10/604,638	
INFORMATION DISCLOSURE		Filing Date	August 6, 2003		
			First Named Inventor	Pullen	
S]	FATEME	NT B	Y APPLICANT	Art Unit	1753
	(Using as	many she	ets as necessary)	Examiner Name	Barton, Jeffrey T.
Sheet:	6	of	26	Attorney Docket No.	H-335

U.S. Patent Documents					
Examiner nitials*	Cite No. *1	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Locations Where Relevant Passages or Figures Appear
	A151	5729632	03/17/1998	Tai	
	A152	5725935	3/10/98	Rajan	
	A153	5717515	02/10/1998	Sheridon	
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	A155	5717283	02/10/1998	Biegelsen et al.	
· · · · · · · · · · · · · · · · · · ·	A156	5716550	2/10/98	Gardner et al.	
	A157	5715511	2/3/98	Aslam et al.	
	A158	5714270	2/3/98	Malhotra et al.	
	A159	5709976	1/20/98	Malhotra	
··	A160	5708525	01/13/1998	Sheridon	
	A161	5707747	01/13/1998	Tomiyama et al.	
	A162	5707738	01/13/1998	Hou	
	A163	5694224	12/2/97	Tai	
	A164	5693442	12/2/97	Weiss et al.	
	A165	5691098	11/25/97	Busman et al.	
* * * * * * *	A166	5689282	11/18/1997	Wolfs et al.	
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	A175	5650199	7/22/97	Chang et al.	Line Andrews (America)
	A176	5643673	07/01/1997	Hou	-
······································	A177	5643506	7/1/97	Rourke	- to select
	A178	5639914	06/17/1997	Tomiyama et al.	
	A179	5638103	6/10/97	Obata et al.	
·	A180	5635317	6/3/97	Taniguchi et al.	

Examiner	
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Substitute for	form 1449	/PTO		Con	nplete if Known	
				Application Number	10/604,638	
INI	EODM	ATION	DISCLOSURE	Filing Date	August 6, 2003	
,				First Named Inventor		
S	TATE!	MENT E	BY APPLICANT	Art Unit	1753	
	(Usino	g as many sh	eets as necessary)	Examiner Name	Barton, Jeffrey T.	
Sheet:	7	of	26	Attorney Docket No.	H-335	

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Examiner Initials*	Cite No. *1	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Locations Where Relevant Passages or Figures Appear		
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	A194	5528399	6/18/96	Izumi et al.			
	A195	5512162	04/30/1996	Sachs et al.			
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	A198	5467107	11/14/1995	DiSanto et al.			
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	A200	5421926	6/6/95	Yukinobu et al.			
	A201	5411656	05/02/1995	Schubert			
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	A206	5383008	01/17/1995	Sheridon			
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	A208	5360689	11/01/1994	Hou et al.			
	A209	5359346	10/25/1994	DiSanto et al.			
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Examiner		
Signature	Date Considered	

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SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449/PTO	Con	nplete if Known
	Application Number	10/604,638
INFORMATION DISCLOSURE	Filing Date	August 6, 2003
	First Named Inventor	Pullen
STATEMENT BY APPLICANT	Art Unit	1753
(Using as many sheets as necessary)	Examiner Name	Barton, Jeffrey T.
Sheet: 8 of 26	Attorney Docket No.	H-335

			U.S. Patent	Documents	
Examiner Initials*	Cite No. *1	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Locations Where Relevant Passages or Figures Appear
-	A211	5326484	7/5/94	Nakashima et al.	
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	A218	5293528	03/08/1994	DiSanto et al.	
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	A223	5272238	12/21/93	Garnier et al.	
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Substitute for form 1449/PTO

INFORMATION DISCLOSURE
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Complete if Known

Application Number
10/604,638

Filing Date
First Named Inventor
Art Unit
1753

Examiner Name
Barton, Jeffrey T.

Attorney Docket No.

H-335

26

of

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	A244	5149826	9/22/92	Delabouglise et al.	
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	A246	5132049	7/21/92	Garreau et al.	
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	A263	5017225	05/21/1991	Nakanishi et al.	
	A264	5009490	4/23/91	Kuono et al.	<u> </u>
	A265	4960351	10/2/90	Kendall, Jr. et al.	* JC - = 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 -
	A266	4947219	8/7/90	Boehm	
	A267	4931019	6/5/90	Park	
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Substitute for	form 1449/	PTO		Con	nplete if Known
		Application Number	10/604,638		
IN	FORM	IATION	DISCLOSURE	Filing Date	August 6, 2003
				First Named Inventor	Pullen
STATEMENT BY APPLICANT		Art Unit	1753		
	(Using	as many sh	eets as necessary)	Examiner Name	Barton, Jeffrey T.
Sheet:	10	of:	26	Attorney Docket No.	H-335

	U.S. Patent Documents						
Examiner Initials*	Cite No. *1	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Locations Where Relevant Passages or Figures Appear		
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	A280	4746917	05/24/1988	DiSanto et al.			
	A281	4742345	5/3/88	DiSanto et al.			
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	A297	4439507	3/27/84	Pan et al.			
	A298	4438160	03/20/1984	Ishikawa et al.			
	A299	4435047	03/06/1984	Fergason			
	A300	4419663	12/06/1983	Kohashi			

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	Date Considered

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Substitute for	form 1449	/PTO		Con	nplete if Known
		Application Number	10/604,638		
IN	IEORN	AATION	DISCLOSURE	Filing Date	August 6, 2003
INFORMATION DISCLOSURE				First Named Inventor	Pullen
STATEMENT BY APPLICANT		Art Unit	1753		
	(Usin	g as many she	eets as necessary)	Examiner Name	Barton, Jeffrey T.
Sheet:	11	of:	26	Attorney Docket No.	H-335

			U.S. Patent	Documents	
Examiner nitials*	Cite No. *1	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Locations Where Relevant Passages or Figures Appear
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	A304	4368952	01/18/1983	Murata et al.	11.72.*
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	A309	4303433	12/1/81	Torobin	
	A310	4298448	11/03/1981	Muller et al.	
	A311	4287337	9/1/81	Guglielmetti et al.	
	A312	4285801	8/25/81	Chiang	
	A313	4279632	7/21/81	Frosch et al.	
	A314	4273672	6/16/81	Vassiliades	
	A315	4272596	06/09/1981	Harbour et al.	
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	A318	4218302	8/19/80	Dalisa et al.	
	A319	4211668	7/8/80	Tate	
	A320	4203106	5/13/80	Dalisa et al.	
	A321	4201691	5/6/80	Asher et al.	
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	A323	4166800	9/4/79	Fong	<u></u>
	A324	4149149	4/10/79	Miki et al.	
	A325	4147932	4/3/79	Lewis	
	A326	4143472	03/13/1979	Murata et al.	
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	A329	4126528	11/21/1978	Chiang	
	A330	4123346	10/31/78	Ploix	

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Substitute for	bstitute for form 1449/PTO		Con	nplete if Known	
	Application Number	10/604,638			
INFORMATION DISCLOSURE				Filing Date	August 6, 2003
				First Named Inventor	Pullen
STATEMENT BY APPLICANT		Art Unit	1753		
	(Using	g as many sh	eets as necessary)	Examiner Name	Barton, Jeffrey T.
Sheet:	12	of:	26	Attorney Docket No.	H-335

			U.S. Patent	Documents	
Examiner Initials*	Cite No. *1	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Locations Where Relevant Passages or Figures Appear
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	A339	4045327	8/30/77	Noma et al.	
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	A343	3909116	9/75	Kohashi	
	A344	3892568	07/01/1975	Ota	
	A345	3870517	03/11/1975	Ota et al.	
	A346	3850627	11/74	Wells et al.	
	A347	3806893	4/74	Ohnishi et al.	
	A348	3792308	02/12/1974	Ota	
	A349	3772013	11/13/1973	Wells	
	A350	3767392	10/23/1973	Ota	
	A351	3756693	09/14/1973	Ota	····
	A352	3670323	06/13/1972	Sobel et al.	
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	A354	3639133	2/1/72	Linton	
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	A356	3585381	6/71	Hodson et al	
	A357	3460248	08/12/1968	Tate	
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Examiner		
Signature	Date Considered	

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Substitute for form 1449/PTO	Complete if Known		
	Application Number	10/604,638	
INFORMATION DISCLOSURE	Filing Date	August 6, 2003	
	First Named Inventor	Pullen	
STATEMENT BY APPLICANT	Art Unit	1753	
(Using as many sheets as necessary)	Examiner Name	Barton, Jeffrey T.	

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Sheet:	13	of: 26		Attorney Docket No.	H-335
			U.S. Patent	Documents	
xaminer nitials*	Cite No. *1	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Locations Where Relevant Passages or Figures Appear
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	A363	2800457	7/23/57	Green et al.	
	A364	2766478	10/16/56	Raley, Jr. et al.	
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			Application Number	10/604,638
	NEORMATIC	ON DISCLOSURE	Filing Date	August 6, 2003
			First Named Inventor	Pullen
	STATEMENT	BY APPLICANT	Art Unit	1753
i	(Using as many	y sheets as necessary)	Examiner Name	Barton, Jeffrey T.
Sheet:	14 of:	26	Attorney Docket No.	H-335

				Foreign Patent D	ocuments		
Examiner Initials*	Cite No.	Co	Document Number untry Code - Number - Kind Code (if known)	Publication Date	Name of Patentee or Applicant of Cited Document	Locations Where Relevant Passages or Figures Appear	T*2
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	В3	EP	1 145 072B1	05/07/2003	E Ink Corporation		
	B4	EP	0 709 713A2	5/1/96	Fujikura		
	B5	EP	0 443 571A2	8/28/91	Ube		
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	B18	JP	60-189731A	9/27/85	Nippon Paint		
	B19	JP	59-098227A	6/6/84	Sharp	·	
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Examiner		<u> </u>	
Signature		Date Considered	

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	INFO	MATIC	ON DISCLOSURE	Filing Date	August 6, 2003	
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	STAT	EMENT	BY APPLICANT	Art Unit	1753	
(Using as many sheets as necessary)			y sheets as necessary)	Examiner Name	Barton, Jeffrey T.	
Sheet:	15	of:	26	Attorney Docket No.	H-335	

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	B22	JP	53-073098	6/29/78	Matsushita		Υ	
	B23	JP	11-352526A	12/24/99	Canon			
	B24	JP	11-237851A	8/31/99	Tektronix			
	B25	JP	11-219135A	8/10/99	Canon			
	B26	JP	11-212499A	8/6/99	Canon			
	B27	JP	10-161161A	6/19/98	Fuji Xerox		Υ	
	B28	JP	10-149118A	6/2/98	NOK		Υ	
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	B30	JP	09-230391A	9/5/97	Fujikura			
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	B32	JP	09-185087A	7/13/97	NOK		Υ	
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	B35	JP	08-234176A	9/13/96	Fuji Xerox			
	B36	JP	07-036020A	2/7/95	Toray			
	B37	JP	06-202168A	7/22/94	Nippon Mektron		Y	
	B38	JP	06-089081A	3/29/94	Sony Tektronix			
	B39	JP	05-307197A	11/19/93	Nippon Mektron			
	B40	JP	05-173194A	7/13/93	Nippon Mektron			

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SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449/PTO				Complete if Known		
				Application Number	10/604,638	
INFORMATION DISCLOSURE				Filing Date	August 6, 2003	
				First Named Inventor	Pullen	
	STATI	EMENT	BY APPLICANT	Art Unit	1753	
(Using as many sheets as necessary)			y sheets as necessary)	Examiner Name	Barton, Jeffrey T.	
Sheet:	16	of:	26	Attorney Docket No.	H-335	

[Foreign Patent Documents								
Examiner Initials*	Cite No.	Cou	Document Number intry Code - Number - Kind Code (if known)	Publication Date	Name of Patentee or Applicant of Cited Document	Locations Where Relevant Passages or Figures Appear	T*2		
	B41	JP	05-165064A	6/29/93	Nippon Mektron				
	B42	JP	05-061421A	3/12/93	Nippon Mektron		Υ		
	B43	JP	04-345133A	12/1/92	Toyota				
	B44	JP	04-307523A	10/29/92	Toyota				
	B45	JP	04-307512A	10/29/92	Sumitomo Electric	,			
	B46	JP	03-096925A	4/22/91	Toyota				
	B47	JP	03-091722A	4/17/91	Toyota				
	B48	JP	03-053224A	3/7/91	Toyota				
	B49	JP	02-284125A	11/21/90	Nippon Mektron				
	B50	JP	02-284124A	11/21/90	Nippon Mektron				
	B51	JP	02-223936A	9/6/90	Nippon Mektron				
	B52	JP	02-223935A	9/6/90	Nippon Mektron				
	B53	JP	02-223934A	9/6/90	Nippon Mektron				
	B54	JP	01-267525A	10/25/89	Toyota				
	B55	JP	01-248182A	10/3/89	Nippon Mektron				
	B56	JP	01-177517A	7/13/89	Kinseki				
	B57	JP	01-142537A	06/05/1989	Nippon Mektron				
	B58	JP	01-086117A	3/30/89	Nippon Mektron				
	B59	PCT	WO 99/26419	5/27/99	Tangen et al.				
	B60	PCT	WO 99/12170	3/11/99	Opticom				

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Substitute for	r form 144	9/PTO		Complete if Known			
				Application Number	10/604,638		
	NEO	SMATIC	ON DISCLOSURE	Filing Date	August 6, 2003		
				First Named Inventor	Pullen		
	STAT	EMENT	BY APPLICANT	Art Unit	1753		
(Using as many sheets as necessary)				Examiner Name	Barton, Jeffrey T.		
Sheet:	17	of:	26	Attorney Docket No.	H-335		

			F	oreign Patent D	ocuments		
Examiner Initials*	Cite No.	No. Document Number Country Code - Number - Kind Code (if known)		Publication Date	Name of Patentee or Applicant of Cited Document	Locations Where Relevant Passages or Figures Appear	T*2
	B61	PCT WO	95/33085	12/7/95	Copytele		
	B62	PCT WO	82/02961	9/2/82	Patscentre Benelux		
	B63	PCT WO	01/27690	04/19/2001	University College Dublin		
	B64	PCT WO	01/07961	02/01/2001	E Ink Corporation		
	B65	PCT WO	00/67327	11/09/2000	E Ink Corporation		
	B66	PCT WO	00/67110	11/09/2000	E Ink Corporation		
	B67	PCT WO	00/38000	06/29/2000	E Ink Corporation		
	B68	PCT WO	00/36560	06/22/2000	E Ink Corporation		
	B69	PCT WO	00/05704	02/03/2000	E Ink Corporation		
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INF	ORMAT	ION DIS	SCI OSLIRE	Filing Date	August 6, 2003
INFORMATION DISCLOSURE				First Named Inventor	Pullen
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	(Using as m	nany sheets as	necessary)	Examiner Name	Barton, Jeffrey T.
Sheet:	18	of:	26	Attorney Docket No.	H-335

		NON-PATENT LITERATURE DOCUMENTS	
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	C1	Ackerman, "E Ink of Cambridge gets start-up funding", Boston Globe, December 24, 1997, page D4	
	C2	Amundson, K., et al., "Flexible, Active-Matrix Display Constructed Using a Microencapsulated Electrophoretic Material and an Organic-Semiconductor-Based Backplane", SID 01 Digest, 160 (June 2001)	
	C3	Antia, M., "Switchable Reflections Make Electronic Ink", Science, 285, 658 (1999)	
	C4	Bach, U., et al., "Nanomaterials-Based Electrochromics for Paper-Quality Displays", Adv. Mater, 14(11), 845 (2002)	
	C5	Ballinger, D.O., "Magnetic recording paper is erasable", Electronics, March 1, 1973, pages 73-	
	C6	Beilin, S., et al, "8.5: 2000-Character Electrophoretic Display", SID 86 Digest, 136 (1986)	
	C7	Blazo, S.F., "High Resolution Electrophoretic Display with Photoconductor Addressing", SID Digest 1982, page 152	
	C8	Bohnke et al., "Polymer-Based Solid Electrochromic Cell for Matrix-Addressable Display Devices." J. Electrochem. Soc., 138, 3612 (1991)	
	C9	Boston Herald, "E Ink debuts in J.C. Penney Stores", May 3, 1999, page 27	
	C10	Brenn, G., et al., "A new apparatus for the production of monodisperse sprays at high flow rates", Chem. Eng. Sci., 52, 237 (1997)	

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INFORMATION DISCLOSURE				First Named Inventor	Pulien
STA	ATEMEN	NT BY A	PPLICANT	Art Unit	1753
(Using as many sheets as necessary)				Examiner Name	Barton, Jeffrey T.
Sheet:	19	of:	26	Attorney Docket No.	H-335

		NON-PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No. *1 Document Citation							
		Brenn, G., et al., "Monodisperse Sprays for Various Purposes - Their Production and						
	C11	Characteristics", Part. Part. Syst. Charact., 13, 179 (1996)	,,,					
	C12	Bryce, M.R., "Seeing through synthetic metals", Nature, 335. 12 (1988)						
	C13	Chen, Y., et al., "A Conformable Electronic Ink Display using a Foil-Based a-Si TFT Array", SID 01 Digest, 157 (June 2001)						
	C14	Chiang, A., "Conduction Mechanism of Charge Control Agents Used in Electrophoretic						
	014	Display Devices", Proceeding of the S.I.D., 18, 275 (1977)						
	C15	Chiang, A., et al., "A High Speed Electrophoretic Matrix Display", SID 80 Digest (1980), 114						
	C16	Chiang, A., et al., "A Stylus Writable Electrophoretic Display Device", SID 79 Digest (1979),						
	C17	Comiskey, B., et al., "An electrophoretic ink for all-printed reflective electronic displays", Nature, 394, 253 (1998)						
	C18	Comiskey, B., et al., "Electrophoretic Ink: A Printable Display Material", SID 97 Digest (1997), page 75						
	C19	Croucher, M.D., et al., "Electrophoretic Display: Materials as Related to Performance", Photog. Sci. Eng., 25, 80 (1981)						
	C20	Dabbousi, B.O., et al., "Electroluminescence from CdSe quantum-dot/polymer composites", Appl. Phys. Lett., 66, 1316 (1995)						

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				Application Number	10/604,638
INF	ORMAT	ION DIS	SCI OSLIDE	Filing Date	August 6, 2003
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Using as many sheets as necessary)				First Named Inventor	Pullen
				Art Unit	1753
				Examiner Name	Barton, Jeffrey T.
Sheet:	20	of:	26	Attorney Docket No.	H-335

		NON-PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. *1	Document Citation	T*2
	C21	Dalisa, A.L., "Electrophoretic Display Technology", IEEE Trans. Electron Dev., ED-24, 827 (1977)	
	C22	Danner, G.M. et al., "Reliability Performance for Microencapsulated Electrophoretic Displays with Simulated Active Matrix Drive", SID 03 Digest, 573 (2003)	
	C23	Dobson, "Electronic Book is a Whole Library", Sunday Times (London), 25 February 1996	
	C24	Drzaic, P., et al., "A Printed and Rollable Bistable Electronic Display", SID 98 Digest (1998), page 1131	
	C25	Duthaler, G., et al., "Active-Matrix Color Displays Using Electrophoretic Ink and Color Filters", SID 02 Digest, 1374 (2002)	
	C26	Egashira, N., et al., "Solid electrochromic cell consisting of Lu-diphthalocyanine and lead fluoride", Proceedings of the SID, 28, 227 (1987)	
	C27	Esen, C. et al., "Synthesis of Spherical Microcapsules by Photopolymerization in Aerosols", Colloid & Polymer Science, Vol. 275, No. 2, 1997, pp. 131-137	
	C28	Fitzhenry, B., "Optical effects of adsorption of dyes on pigment used in electrophoretic image displays", Appl. Optics., 18, 3332 (1979)	
	C29	Fitzhenry-Ritz, B., "Optical Properties of Electrophoretic Image Displays", Proceedings of the S.I.D., 22, 300 (1981)	
	C30	Flaherty, "What Did Disappearing Ink Grow up to Be? Electronic Ink," The New York Times, May 6, 1999	

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				Application Number	10/604,638
INE	ORMAT	ION DIS	SCLOSURE	Filing Date	August 6, 2003
				First Named Inventor	Pullen
STA	ATEME	NT BY A	PPLICANT	Art Unit	1753
(Using as many sheets as necessary)			necessary)	Examiner Name	Barton, Jeffrey T.
Sheet:	21	of:	26	Attorney Docket No.	H-335

	NON-PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No. *1	Document Citation	T.5				
	C31	Franjinone et al., "The Art and Science of Microencapsulation", Technology Today, 1995, no page numbers					
	C32	Goodman, L.A., "Passive Liquid Displays: Liquid Crystals, Electrophoretics and Electrochromics, Proceedings of S.I.D., 17, 30 (1976)					
	C33	Guernsey, L., "Beyond Neon: Electronic Ink", New York Times, June 3, 1999, page G11					
	C34	Gutcho, M.H., "Microcapsules and Microencapsulation Techniques, Noyes Data Corp., Park Ridge NJ, (1976)					
	C35	Hatano, T., et al., "18:3: Bistable Paper-White Display Device Using Cholesteric Liquid Crystals", SID 96 Digest, 269 (1996)					
	C36	Heinzl, J., et al., "Ink-Jet Printing", Advances in Electronics and Electron Physics, 65, 91 (1985)					
	C37	Henzen, A. et al., "Development of Active Matrix Electronic Ink Displays for Handheld Devices", SID 03 Digest, 176, (2003)					
	C38	Huang, J., et al., "Photoluminescence and electroluminescence of ZnS:Cu nanocrystals in polymeric networks", Appl. Phys. Lett., 70, 2335 (1997)					
	C39	Jacobson, J., et al., "The last book", IBM Systems J., 36, 457 (1997)					
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Substitute fo	or form 1449/PT	Ō		Complete if Known		
				Application Number	10/604,638	
INI	FORMAT	CION DE	SCLOSURE	Filing Date	August 6, 2003	
				First Named Inventor	Pullen	
SI	AIEME	NIBYA	APPLICANT	Art Unit	1753	
	(Using as m	nany sheets as	s necessary)	Examiner Name	Barton, Jeffrey T.	
Sheet:	22	of:	26	Attorney Docket No.	H-335	

		NON-PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. *1	Document Citation	T*2
	C41	Jin et al., "Optically Transparent, Electrically Conductive Composite Medium", Science, 255, 446 (1992)	
	C42	Jo, G-R, et al., "Toner Display Based on Particle Movements", Chem. Mater, 14, 664 (2002)	
<u> </u>	C43	Kazlas, P., et al., "12.1" SVGA Microencapsulated Electrophoretic Active Matrix Display for Information Applicances", SID 01 Digest, 152 (June 2001)	
	C44	Kitamura, T., et al., "Electrical toner movement for electronic paper-like display", Asia Display/IDW '01, page 1517, Paper HCS1-1 (2001)	
	C45	Lewis et al., "Gravitational, Inter-Particle and Particle-Electrode Forces in the Electrophoretic Display", Proceedings of the SID, 18, 235 (1977)	
	C46	Luckham, P.F. et al., "The Controlled Flocculation of Particulate Dispersion Using Small Particles of Opposite Charge. III. Investigation of Floc Structure Using a Rheological Techniques", Colloids and Surfaces, 6 (1983), pp. 101-118	
	C47	Luckham, P.F. et al., "The Controlled Flocculation of Particulate Dispersions Using Small Particles of Opposite Charge. II. Investigation of Floc Structure Using a Freeze-Fracture Technique", Colloids and Surfaces, 6 (1983), pp. 83-95	
	C48	Matsumoto et al., "A Production Process for Uniform-Size Polymer Particles", Journal of Chemical Engineering of Japan, Vol. 22, No. 6, 1989, pp. 691-694	
	C49	Matsumoto et al., "Production of Monodispersed Capsules", J. Microencapsulation, Vol. 3, No. 1, 1986, pp. 25-31	
	C50	Matsumoto, S., et al., "Generation of Monodispersed Concentric Two Phase Droplets for Encapsulation," ICLASS-'82, Reports & Proc, 2nd Int. Conf. on Liquid Atomization & Spray Systems, Pages 63-67 (1982)	

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				Application Number	10/604,638
INF	OPMAT	ION DIS	SCLOSURE	Filing Date	August 6, 2003
				First Named Inventor	Pullen
ST	ATEME	NT BY A	PPLICANT	Art Unit	1753
(Using as many sheets as necessary)			s necessary)	Examiner Name	Barton, Jeffrey T.
Sheet:	23	of:	26	Attorney Docket No.	H-335

NON-PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No. *1	Document Citation	T-2			
	C51	Murau, P., et al., "An Electrophoretic Radiographic Device", SID 79 Digest, (1979) pages46-47.				
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	C54	Nakamura, E., et al., "Development of Electrophoretic Display Using Microcapsulated Suspension," SID 98 Digest (1998), page 1014				
	C55	Negroponte, N., et al., "Surfaces and Displays," Wired, Jan.1997, page 212				
	C56	O'Regan, B. et al., "A Low Cost, High-efficiency Solar Cell Based on Dye-sensitized colloidal TiO2 Films", Nature, Volume 353, October 24, 1991, 773-740				
	C57	Ota, I., et al., "Developments in Electrophoretic Displays", Proceedings of the SID, 18, 243 (1977)				
	C58	Ota, I., et al., "Electrophoretic display devices", Laser 75 Optoelectronics Conference Proceedings, 145 (1975)				
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				First Named Inventor	Pullen
SI	AIEMEN	IT BY A	APPLICANT	Art Unit	1753
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Sheet:	24	of:	26	Attorney Docket No.	H-335

NON-PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No. *1	Document Citation	T*2			
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	C62	Pansu, B., et al., "Thin colloidal drystals: a series of structural transitions," J. Physique, 44, 531 (1983)				
	C63	Pearlstein, "Electroless Plating", in Lowenheim (ed.), Modern Electroplating, Wiley, New York (1976), pages 710-747				
	C64	Peiranski, P., et al., "Thin Colloidal Crystals," Phys. Rev. Lett., 50, 900 (1983)				
	C65	Peterson, I., "Rethinking Ink: Printing the Pages of an Electronic Book," Science News, 153, 396 (June 20, 1998)				
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	C69	Saitoh, M., et al., "A newly developed electrical twisting ball display", Proceedings of the SID, 23, 249 (1982)				
	C70	Sankus, "Electrophoretic Display Cell", Xerox Disclosure Journal, 6(3), 309 (1979)				

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				Art Unit	1753
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Sheet:	25	of:	26	Attorney Docket No.	H-335

NON-PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. *1	Document Citation	T		
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	C74	Shiwa, S., et al., "Electrophoretic Display Method Using Ionographic Technology," SID 88 Digest (1988), page 61			
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:	C76	Van Winkle, D.H., et al., "Layering Transitions in Colloidal Crystals as Observed by Diffraction and Direct-Lattice Imaging", Phys. Rev. A, 34, 562 (1986)			
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	C78	Vandegaer, J.E. (ed.), "Microencapsulation Processes and Applications", pp. v-x, 1-180 (Plenum Press, New York 1974)			
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Examiner		*	
Signature	Date Considered		

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Substitute for	Substitute for form 1449/PTO			Complete if Known	
				Application Number	10/604,638
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Filing Date	August 6, 2003
				First Named Inventor	Pullen
				Art Unit	1753
	(Using as m	any sheets a	s necessary)	Examiner Name	Barton, Jeffrey T.
Sheet:	26	of:	26	Attorney Docket No.	H-335

	NON-PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. *1	Document Citation	T*2			
	C81	Webber, R., "Image Stability in Active-Matrix Microencapsulated Electrophoretic Displays", SID 02 Digest, 126 (2002)				
	C82	White, R., "An Electrophoretic Bar Graph Display," Proceedings of the SID, 22, 173 (1981)				
	C83	Wood, D., "An Electrochromic Renaissance?" Information Display, 18(3), 24 (March 2002)				
	C84	Yamaguchi, M., et al., "Equivalent Circuit of Ion Projection-Driven Electrophoretic Display," IEICE Transactions, 74, 4152 (1991)				
	C85	Yamaguchi, Y., et al., "Toner display using insulative particles charged triboelectrically", Asia Display/IDW '01, page 1729, Paper AMD4-4 (2001)				
	C86	Yang, Y., et al., "A new architecture for polymer transistors", Nature, 372, 344 (1994)				
	C87	Zehner, R. et al., "Drive Waveforms for Active Matrix Electrophoretic Displays", SID 03 Digest, 842 (2003)				
	C88	Zollinger, "Structure of Simple Di- and Triarylmethine Dyes and their Aza Analogues," in Color Chemistry: Synthesis, Properties and Applications of Organic Dyes and Pigments, 2nd, Rev. Edition, VCH, Weinheim, 1991, p. 71-86				
	C89	Zurer, P., "Digital Ink Brings Electronic Books Closer," Chemical and Engineering News, July 20, 1998, page 12				

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